

20/589360

AP20 Rec'd PCT/PTO 14 AUG 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Ryuji HAMADA et al.**

Application Number: **Not yet assigned**
(§371 of international application No. PCT/JP2005/011817)

Filed: **August 14, 2006**

For: **CORROSION RESISTANT RARE EARTH METAL PERMANENT
MAGNETS AND PROCESS FOR PRODUCTION THEREOF**

Attorney Docket Number: **062894**
Customer Number: **38834**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

August 14, 2006

Sir:

In compliance with 37 C.F.R. §1.56, Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08. A copy of each non- U.S. document is enclosed herewith as well as an International Search Report mailed September 6, 2005.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP


Stephen G. Adrian

Attorney for Applicants
Registration No. 32,878
Telephone: (202) 822-1100
Facsimile: (202) 822-1111

SGA/mc

Enclosure: PTO/SB/08, Documents (19) & Int'l Search Report

JAP20 Rec'd PCT/PTO 14 AUG 2006

Combined Form PTO/SB/08A&B <u>INFORMATION DISCLOSURE</u> <u>STATEMENT BY APPLICANT</u> <i>(use as many sheets as necessary)</i>			Complete if Known		
			Application Number	Not yet assigned	
			Confirmation Number	107589360	
			Filing Date	August 14, 2006	
			First Named Inventor	Ryuji HAMADA et al.	
			Art Unit		
Examiner Name					
Sheet	1	of	2	Attorney Docket Number	062894

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
	1	US 2003/0079805	A1	5/1/2003	Hamada et al.
	2	US 6,326,087	B1	12/4/2001	Sumitomo Special Metals Co., Ltd.
	3	US 6,376,089	B1	4/23/2002	Sumitomo Special Metals Co., Ltd.
	4	US 6,777,097	B2	8/17/2004	Shin-Etsu Chemical Co., Ltd.
	5	US 2004/0261909	A1	12/30/2004	Hamada
	6	US 5,405,455	A	4/11/1995	Shin-Etsu Chemical Co., Ltd.
	7	US 2002/0050306	A1	5/2/2002	Nishiuchi et al.

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			
	8	JP	2003-64454	A	3/5/2003	Shin-Etsu Chemical Co., Ltd.	Cited in ISR; Corresponds to #1 #4 and #9
	9	EP	1 267 365	A2	6/14/2002	Shin-Etsu Chemical Co., Ltd.	
	10	JP	2001-76914	A	3/23/2001	Sumitomo Special Metals Co., Ltd.	Cited in ISR; Corresponds to #2, #7 and #11
	11	EP	1 011 112	A2	6/21/2000	Sumitomo Special Metals Co., Ltd.	
	12	JP	2001-143949	A	5/25/2001	Sumitomo Special Metals Co., Ltd.	Cited in ISR; Corresponds to #3 and #13
	13	EP	1 081 724	A2	3/7/2001	Sumitomo Special Metals Co., Ltd.	
	14	JP	2000-182813	A	6/30/2000	Shin Etsu Chem Co Ltd	Abstract; Cited in ISR
	15	JP	2004-14906	A	1/15/2004	Sumitomo Special Metals Co Ltd	Abstract; Cited in ISR
	16	JP	2003-158006	A	5/30/2003	Shin-Etsu Chemical Company, Ltd.	Corresponds to #5 and #17
	17	EP	1 455 368	A1	9/8/2004	Shin-Etsu Chemical Company, Ltd.	
	18	JP	5-21218	A	1/29/1993	Shin-Etsu Chemical Co., Ltd.	Corresponds to #6 and #21
	19	JP	2853838	B2	11/20/1998	Shin-Etsu Chemical Co., Ltd.	Corresponds to #6 and #21
	20	JP	2853839	B2	11/20/1998	Shin-Etsu Chemical Co., Ltd.	Corresponds to #6 and #21
	21	EP	0 517 179	A1	12/9/1992	Shin-Etsu Chemical Co., Ltd.	
	22	JP	2001-230107	A	8/24/2001	Shin Etsu Chem Co Ltd	Abstract
	23	JP	2001-230108	A	8/24/2001	Shin Etsu Chem Co Ltd	Abstract
	24	JP	5-21219	A	1/29/1993	Shin Etsu Chem Co Ltd	Abstract
	25	JP	5-74618	A	3/26/1993	Shin Etsu Chem Co Ltd	Abstract
	26	JP	5-182814	A	7/23/1993	Shin Etsu Chem Co Ltd	Abstract

Combined Form PTO/SB/08A&B <u>INFORMATION DISCLOSURE</u> <u>STATEMENT BY APPLICANT</u> <i>(use as many sheets as necessary)</i>				<i>Complete if Known</i>	
				Application Number	Not yet assigned
				Confirmation Number	107599360
				Filing Date	August 14, 2006
				First Named Inventor	Ryuji HAMADA et al.
				Art Unit	
Examiner Name	PTO 14 AUG 2006				
Attorney Docket Number	062894				
Sheet	2	of	2		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)			

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation ⁶
	27	International Search Report mailed September 6, 2005 of International Application PCT/JP2005/011817	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to indicate here if English language Translation is attached.